

FORM PTO-1390 (REV. 1-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 450100-04305
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) <b>10/500091</b>
INTERNATIONAL APPLICATION NO. PCT/JP02/13743	INTERNATIONAL FILING DATE December 27, 2002	PRIORITY DOCUMENT NO. 2001-397468 PRIORITY DATE CLAIMED 27 December 2001	
TITLE OF INVENTION NETWORK- <b>INFORMATION-PROCESSING SYSTEM AND INFORMATION-PROCESSING METHOD</b>			
APPLICANT(S) FOR DO/EO/US Hiroyuki SUZUKI, Toru MIYAKE, Rui YAMAGAMI			
Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
Items 11 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Datasheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information:</li> </ol>			
PCT/ISA/210, 13 SHEETS OF DRAWINGS, CLAIM OF PRIORITY, REFERENCES FOR IDS, 1 PAGE ABSTRACT, REQUEST FOR APPROVAL OF DRAWING CHANGES			
<div style="text-align: right;"> <p><b>EXPRESS MAIL</b></p> <p>Mailing Label Number: <b>EV468998166US</b></p> <p>Date of Deposit: <b>June 24, 2004</b></p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service</p> <p>"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><i>Charles J. Jones</i> (Typed or printed name of person mailing paper or fee)</p> <p><i>Charles J. Jones</i> (Signature of person mailing paper or fee)</p> </div>			

U.S. APPLICATION NO. <b>10/500091</b> (known to USPTO) (37 CFR 1.50)	INTERNATIONAL APPLICATION NO. <b>PCT/JP02/13743</b>	ATTORNEY'S DOCKET NO. <b>450100-04305</b>
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21. <input checked="" type="checkbox"/> The following fees are submitted	<b>CALCULATIONS PTO USE ONLY</b>												
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1080.00  International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$920.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$770.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$730.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00													
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>\$ 920.00</b>												
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<b>\$</b>												
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">20 - 20 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">x \$18.00/\$9.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">2 - 3 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">x \$86.00/\$43.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	20 - 20 =	0	x \$18.00/\$9.00	Independent Claims	2 - 3 =	0	x \$86.00/\$43.00	<b>\$</b>
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE										
Total Claims	20 - 20 =	0	x \$18.00/\$9.00										
Independent Claims	2 - 3 =	0	x \$86.00/\$43.00										
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$290.00/\$290.00												
<b>TOTAL OF ABOVE CALCULATIONS =</b>	<b>\$ 920.00</b>												
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.	+ \$												
<b>SUBTOTAL =</b>	<b>\$ 920.00</b>												
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	<b>\$</b>												
<b>TOTAL NATIONAL FEE =</b>	<b>\$ 920.00</b>												
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+ \$												
<b>TOTAL FEES ENCLOSED =</b>	<b>\$ 920.00</b>												
	Amount to be refunded: \$												
	charged: \$												

a. ☒ A check in the amount of **\$920.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

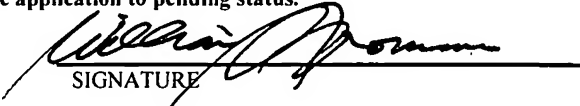
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. **50-0320**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit  
 card information should not be included on this form.** Provide credit card information and authorization  
 on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**WILLIAM S. FROMMER, ESQ.**  
 Frommer Lawrence & Haug LLP  
 745 Fifth Avenue  
 New York, New York 10151

  
 SIGNATURE  
 William S. Frommer  
 NAME  
 25,506  
 REGISTRATION NUMBER

**Dated: June 24, 2004**

10/500091  
DT04 Rec'd PCT/PTO 24 JUN 2004

Attorney Docket No. 450100-04305

New Patent Application filed **June 24, 2004** entitled:

**NETWORK-INFORMATION-PROCESSING SYSTEM AND INFORMATION-  
PROCESSING METHOD**

corresponding to PCT Application No. PCT/JP02/13743

filed December 27, 2002

Express Mail No.: EV468998166US

Date of Deposit: June 24, 2004

I hereby certify that this application and the accompanying papers are being deposited with the

United States Postal Service "Express Mail Post Office to Addressee"

service under 37 CFR 1.10 on the date indicated above and is addressed to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Charles J. Jacobson

10/500091

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DT04 Rec'd PCT/PTO 24 JUN 2004

Applicant: Hiroyuki SUZUKI et al.  
U.S. Serial No.: Filed Concurrently Herewith  
International Appln. No.: PCT/JP02/13743  
International Filing Date: December 27, 2002  
Title of Invention: NETWORK-INFORMATION-PROCESSING SYSTEM  
AND INFORMATION-PROCESSING METHOD

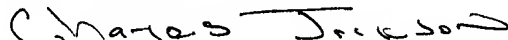
745 Fifth Avenue  
New York, NY 10151

**EXPRESS MAIL**

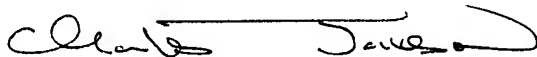
Mailing Label Number: EV468998166US

Date of Deposit: June 24, 2004

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United States Postal Service "Express Mail Post Office to  
Addressee" Service under 37 CFR 1.10 on the date indicated above  
and is addressed to: Mail Stop PCT, Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450.



(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

**REQUEST FOR APPROVAL OF DRAWING CHANGES**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Approval is requested for the following change in the drawings incorporated into the  
accompanying formal drawings:

Figs. 1, 3, 6 and 7 are amended as follows:

In Fig. 1, at the right of box 10, add 100;

10/500091

DT04 Rec'd PCT/PTO 24 JUN 2004

In Fig. 3, below box 10 and 11, add 101;

In Fig. 6, in "File Explorer" box, change DISK TOP to DESKTOP;

In Fig. 7, in "File Explorer" box, change DISK TOP to DESKTOP.

**REMARKS**

The above amendments to Figs. 1, 3, 6 and 7 are respectfully requested. Attached are replacement drawing figures for Figs. 1, 3, 6 and 7 that incorporates the changes.

Please charge any additional fees to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:



William S. Frommer  
Reg. No. 25,506  
Tel. (212) 588-0800